FORM PTO-1390 (REV. 01-2003) US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
128815
U.S. APPLICATION NO. (T)K(Own., see 37 C)

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	C	ONCERNING A FILING UN	DER 35 U.S.C. 371					
		TIONAL APPLICATION NO. 005/006071	INTERNATIONAL FILING DATE March 30, 2005	PRIORITY DATE CLAIMED March 30, 2004				
TITLE OF INVENTION EXPOSURE APPARATUS, EXPOSURE METHOD AND DEVICE MANUFACTURING METHOD, AND SURFACE SHAPE DETECTION UNIT								
	APPLICANT(S) FOR DO/EO/US Nobutaka MAGOME; Hideo MIZUTANI; Yasuhiro HIDAKA							
Appli	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1.	1.							
2.	2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.							
3.	3.							
4.		The US has been elected (Article 3	1).					
5. <b>1</b>	<b>\</b>	A copy of the International Applicati	ion as filed (35 U.S.C. 371(c)(2))					
	a.  is attached hereto (required only if not communicated by the International Bureau).							
		b. 🛮 has been communicated by	the International Bureau.					
4:		c.  is not required, as the application was filed in the United States Receiving Office (RO/US).						
6.	$\boxtimes$	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))						
		a. 🛛 is attached hereto.						
		b.  has been previously submitted under 35 U.S.C. 154(d)(4).						
		c.	n was filed in English.					
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
		a.   are attached hereto (required only if not communicated by the International Bureau).						
		b.  have been communicated by the International Bureau.						
		c. $\square$ have not been made; however, the time limit for making such amendments has NOT expired.						
		d.  have not been made and will	ll not be made.					
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.		An English language translation of (35 U.S.C. 371(c)(5)).	the annexes of the International Pr	eliminary Examination Report under PCT Article 36				
Items 11 to 20 below concern document(s) or information included:								
11.		An Information Disclosure Stateme	ent under 37 CFR 1.97 and 1.98.					
12.	$\boxtimes$	An assignment document for record	ding. A separate cover sheet in co	impliance with 37 CFR 3.28 and 3.31 is included.				
13.	$\boxtimes$	A preliminary amendment.						
14.	$\boxtimes$	An Application Data Sheet under 3	7 CFR 1.76.					
15.		A substitute specification.						
16.	$\boxtimes$	A power of attorney and/or change	of address letter.					
17.		A computer-readable form of the se	equence listing in accordance with	PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.				
18.		A second copy of the published inte	ernational application under 35 U.S	S.C. 154(d)(4).				
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20.	$\boxtimes$	The International Search Report is	attached hereto.					

## IAPO1 Rec'd PCT/PTO 28 SEP 2005

U.S. APPLICATION NO / IT FROM THE NEW DE PORT ARD TO A TECHNOLOGY	37 C.F.R. 1.5)	INTERNATIONAL APPLICAT	TION NO.	ATTORNEY'S DOCKET NUMBER			
21,The following fees are submitted:		128815  CALCULATIONS PTO USE ONLY					
21. Light ne following fees are submitted:		ļ	CALCULATIONS	PTO USE ONLY			
BASIC NATIONAL FEE (37 CF	FR 1.492(a)):		\$ 300.00	\$300.00			
SEARCH FEE (37 CFR 1.492(b				\$400.00			
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the							
national phase							
International search report provi the search fee is paid							
All situations not provided for at	thous		\$ 500.00				
EXAMINATION FEE (37 CFR 1			\$ 500.00	\$200.00			
1	1.402(0)(., (2)).			Ψ200.00			
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase							
All situations not provided for at							
Surcharge of \$130.00 for furnish declaration after the date of con	hing the search re mmencement of the	e, the examination reche national phase (37	e or the oath or CFR 1.492(h)).	\$			
APPLICATION SIZE FEE	÷ 50	= t	x 250 =	\$			
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†round up to next integer		SUBSECTION					
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☐ Applicant claims small entit	ity status. See 37	CFR 1.27. The fees	indicated above are	\$	.,,		
reduced by 1/2.			- CURTOTAL -	\$1700 OO			
Processing fee of \$130.00 for fu	furnishing the Eng	lich translation later th	SUBTOTAL =	\$1700.00 \$			
the earliest claimed priority date	e (37 CFR 1.492(	i))	Idil 30 Monuis nom	<b>3</b>			
			NATIONAL FEE =	\$1700.00			
Fee for recording the enclosed accompanied by an appropriate	assignment (37 C	CFR 1.21(h)). The ass	ignment must be	\$			
accompanied by an appropriate	3 Cover sileer (3)		EES ENCLOSED =	\$1700.00			
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NOTE: Where an appropriat	ite time limit und	ler 37 CFR 1.495 has to the application to pe	not been met, a peti	tion to revive (87 CF	R 1.137(a) or (b))		
SEND ALL CORRESPONDEN		the approacion to pe					
OLIFF & BERRIDGE, PLC							
Customer Number: 25944 NAME: Mario A. Costantino							
			REGISTRATIC	ON NUMBER: 33,565	5		
Date <u>September 28, 2006</u>	<u>ذ</u>		NAME: Danie	NAME: Daniel A. Tanner, III			
		REGISTRATION NUMBER: 54,734					

#### **PATENT APPLICATION**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Nobutaka MAGOME et al.

New U.S. Patent Application

Filed: September 28, 2006

Docket No.: 128815

For:

EXPOSURE APPARATUS, EXPOSURE METHOD AND DEVICE MANUFACTURING

METHOD, AND SURFACE SHAPE DETECTION UNIT

### TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 CFR § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is a Power of Attorney from the Assignee.

In compliance with 37 CFR §3.73(b), the undersigned hereby states that NIKON <u>CORPORATION</u> is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. A copy of the assignment is attached hereto and is concurrently being submitted for recordation.

The undersigned is authorized to act on behalf of the assignee.

In accordance with 37 CFR §1.36(a), submission of this Power of Attorney revokes any powers of attorney previously given.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, CUSTOMER NO. 25944, TELEPHONE (703) 836-6400.

fario A. Costantino Registration No. 33,565

Daniel A. Tanner, III Registration No. 54,734

MAC:DAT/jdb

Date: September 28, 2006